

Introduction to the Fukaya category

Course 1: 27/05/16.

I. Lagrangian Floer cohomology

① Arnold's conjecture

Webpage [web.math.princeton.edu/~nsher/jushev.html](http://web.math.princeton.edu/~nsher/jushev.html)  
(personal page of N. Sheridan)

$(M, \omega)$  compact symplectic manifold

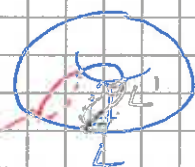
$L \subset M$  Lagrangian submanifold

$\psi \in \text{Ham}(M, \omega)$  (i.e.  $\psi = \psi_t$  time-1 flow of  $X_t$  where  $\omega(\cdot, X_t) = dH_t$ ).

Def:  $L'$  is Ham isotopic to  $L$  if  $\exists \psi \in \text{Ham}(M)$  s.t.  $L' = \psi(L)$

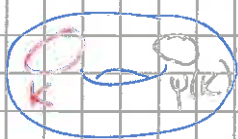
Thm (Floer): If  $L' \cap L \neq \emptyset$ ,  $L'$  Ham isotopic to  $L$  and  $\omega|_{\pi_2(M, L)} = 0$ , then  $|L \cap L'| \geq \sum \dim H^i(L; \mathbb{Z}_2)$

Example:



Area 1 = Area 2  $\rightarrow$  at least 2 intersection points between  $L$  and  $L'$   
because flux differs

$\phi(L)$   
 $\phi \in \text{Symp}(M)$   
 $\phi \notin \text{Ham}(M, \omega)$



Here  $K \cap \psi(K) = \emptyset$  is possible because  $K$  bounds a disk ( $\omega|_{\pi_2(M, K)} \neq 0$ ) with non-zero area

Sketch of proof Floer associated to  $L_0, L_1$  (pair of transversal Lagrangian submanifolds with  $\omega|_{\pi_2(M, L_i)} = 0$ ) a cochain complex  $(CF(L_0, L_1), \partial)$  with cohomology  $HF(L_0, L_1)$  such that:

- (Ham-isotopic invariance) 1)  $L'_i$  Ham-isotopic to  $L_i$  ( $i=0,1$ )  $\Rightarrow HF(L_0, L_1) \cong HF(L'_0, L'_1)$
- 2)  $CF(L_0, L_1)$  is a  $\Lambda$ -vector space with basis in bijection with  $L_0 \cap L_1$   $\leftarrow$  field extension of  $\mathbb{Z}_2$
- 3)  $HF(L, L) \cong H^*(L; \Lambda)$

Sketch of proof 1-3) suffices to prove Floer's theorem

## ② The definition

$K$  is a field.

Def: Novikov field over  $K$ :  $\Lambda_K := \left\{ \sum_{i=0}^{\infty} a_i T^{\lambda_i}; a_i \in K, \lambda_i \in \mathbb{R}, \lim_{i \rightarrow \infty} \lambda_i = +\infty \right\}$

(Recall: Laurent series over  $K = \left\{ \sum_{i \in \mathbb{Z}} a_i T^i, a_i \in K \right\}$ )

$$\Lambda = \Lambda_{\mathbb{Z}_2}$$

Define  $CF(L_0, L_1) := \Lambda \langle L_0 \cap L_1 \rangle$

$$\text{If } p \in L_0 \cap L_1, \quad \partial p = \sum_{\substack{q \in L_0 \cap L_1 \\ B}} \underbrace{\# \mathcal{M}(p, q, \beta, J)}_{\in \Lambda} T^{w(\beta)} q$$

$\mathcal{M}(p, q, \beta, J)$  depends on  $J = \text{an } \omega\text{-compatible almost complex structure: } J \in \text{End}(T\mathbb{M}), J^2 = -\text{Id}$   
 $\omega(\cdot, J\cdot) = \text{Riemannian metric}$

$\mathcal{M}(p, q, \beta, J)$  is the set of J-holomorphic strips, these are smooth maps  $u: \mathbb{R} \times [0, 1] \rightarrow \mathbb{M}$  such that

$$\frac{\partial u}{\partial s} + J(u) \frac{\partial u}{\partial t} = 0 \quad (\text{J-hol. curve equation})$$

$$u(s, 0) \in L_0, \quad u(s, 1) \in L_1 \quad \text{and} \quad \lim_{s \rightarrow +\infty} u(s, t) = p, \quad \lim_{s \rightarrow -\infty} u(s, t) = q$$

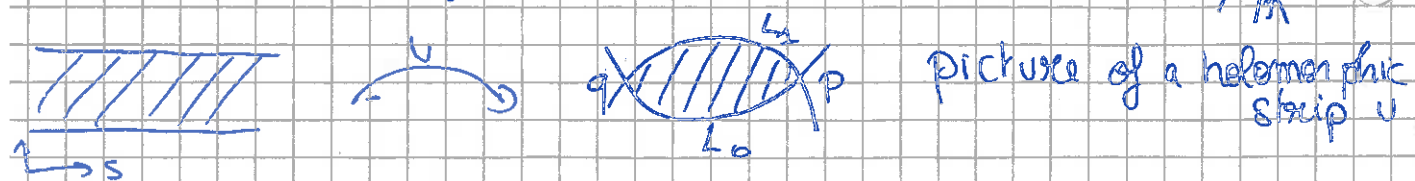
$$[u] = \beta$$

$$w(\beta) = \int_{\mathbb{R} \times [0, 1]} u^* \omega \stackrel{\text{exercise}}{=} \iint \left| \frac{\partial u}{\partial s} \right|^2 ds dt \geq 0 \quad \text{with equality}$$

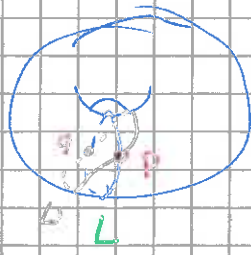
iff  $u$  is a constant

$\mathbb{R}$  acts on  $\mathcal{M}(p, q, \beta, J)$  by translation:  $a \in \mathbb{R}, u \in \mathcal{M}$  then  $(a \cdot u)(s, t) = u(s+a, t)$   $a \cdot u \in \mathcal{M}$

Therefore we define  $\mathcal{M}(p, q, \beta, J) = \mathcal{M}(p, q, \beta, J) / \mathbb{R}$



### Example



$$\langle HF(L, L') \rangle = \Lambda \langle p, q \rangle$$

$$\partial p = (T^{\text{Area}_1} + T^{\text{Area}_2}) q$$

②

If  $\text{Area}_1 = \text{Area}_2$  (which is the case when  $L'$  is Ham-isotopic to  $L$ )

then  $T^{A_1} + T^{A_2} = 0 \Rightarrow \partial = 0 \Rightarrow HF(L, L') \cong \Lambda \langle p, q \rangle$

If  $A_1 \neq A_2$  (WLOG  $A_1 \leq A_2$ ), then  $(T^{A_1} + T^{A_2})^{-1} = T^{-A_1} (1 + T^{A_2 - A_1})^{-1} = T^{-A_1} (1 + T^{A_2 - A_1} + T^{2(A_2 - A_1)} + \dots)$   
 $\Rightarrow HF(L, L') \cong 0$

We can expect this result by the Ham-isotopic invariance because if  $A_1 \neq A_2$  then  $L'$  is ham-isotopic to  $L''$  such that  $L'' \cap L = \emptyset$

### ③ Transversality

How come we can count holomorphic strips?

The defining PDE of  $\hat{\sigma}$  is a Fredholm problem.

$\Rightarrow$  When  $J$  is regular,  $\hat{\sigma}(p, q, \beta, J)$  has the structure of an  $i(\beta)$ -dimensional ~~manifold~~ manifold (whose  $i(\beta)$  is the index of the Fredholm problem).

We would like that  $J$  is "generically" regular. To achieve this, we need to allow  $J$  to vary in the  $t$ -direction

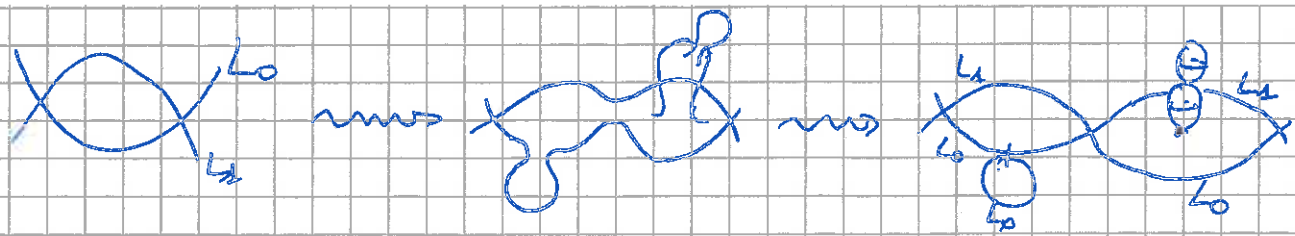
$$J\text{-hol eqn} \rightsquigarrow \frac{\partial u}{\partial s} + J_t(u) \frac{\partial u}{\partial t} = 0$$

$$\text{(Rosenack)} \quad \# \hat{\sigma}(q, p, \beta, J) = \begin{cases} \# \sigma(\cdot) & \text{if } i(\beta) = 1 \\ 0 & \text{else} \end{cases}$$

Then generic  $J_t$  is regular (Floer - Hofer - Salamon)

### ④ Compactness

Gromov's compactness theorem says that any sequence of  $J$ -holomorphic strips  $(u_n)$  with  $w(u_n) \leq E$  has a subsequence which converges (in Gromov-topology) to a nodal tree of (nonconstant) nodal holomorphic curves

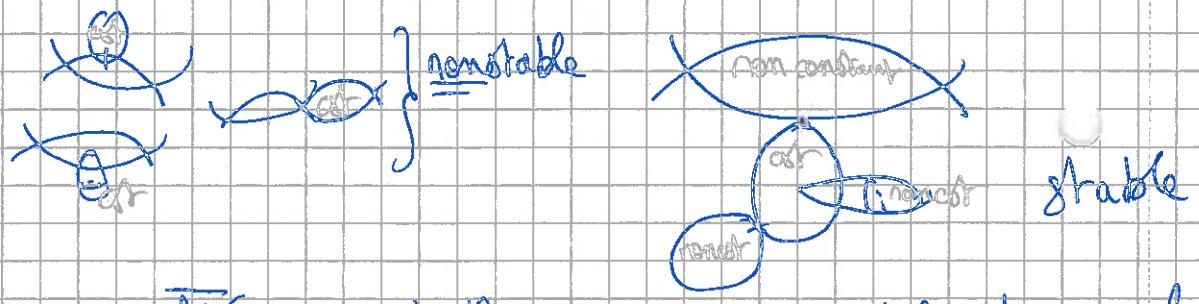


idea: symplectic area ('energy') can concentrate at

- interior points ( $\Rightarrow$  sphere bubbles)
- boundary points ( $\Rightarrow$  disc bubbles)
- boundary punctures ( $\Rightarrow$  strip breaking)

ref of stable: any constant component has discrete aut group

Example:  
of stable and  
on stable nodal  
holomorphic curves



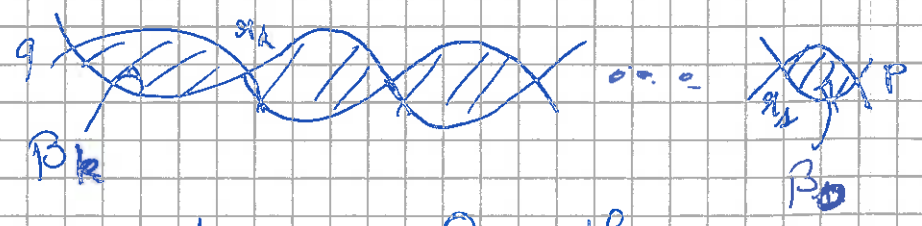
This gives us  $\overline{\mathcal{M}}(p, q, B, J)$  the Gromov compactification of  $\mathcal{M}(p, q, B, J)$

If  $\omega|_{\pi_2(M, L)} = 0$  ( $\Rightarrow \omega|_{\pi_2(M)} = 0$ ) then any disc or sphere bubbles must be constant.

$\therefore$  there are no disc or sphere bubbles in stable nodal curves and

$$\overline{\mathcal{M}}(p, q, B, J_\epsilon) := \bigsqcup_{\sum B_i = B} \mathcal{M}(p, q_1, B_1, J) \times \dots \times \mathcal{M}(q_k, q, B_k, J)$$

fact:  $i(B) = i(B_0) + \dots + i(B_k)$



cor: If  $J_t$  is regular,  $\omega|_{\pi_2(M, L)} = 0$ , then

•  $\mathcal{M}(p, q, B, J_\epsilon)$  compact 0-mfd for  $i(B) = 1$

• If  $i(B) = 2$ , then  $\overline{\mathcal{M}}(p, q, B, J_\epsilon) = \mathcal{M}(p, q, B, J_\epsilon) \cup \mathcal{M}(p, q, B, J_\epsilon) \times \mathcal{M}(q, q, B, J_\epsilon)$

$$\cup \bigsqcup_{\substack{q_1 \in L_0 \cup L_2 \\ B = B_0 + B_1 \\ i(B_0) = i(B_1) = 1}} \mathcal{M}(p, q_1, B, J_\epsilon) \times \mathcal{M}(q_2, q, B, J_\epsilon)$$



Pg If  $i(B) < 0$ ,  $\hat{A}(\pi_0, \pi_1, B, J_c) = \emptyset$ . (3)

If  $i(B) = 0$ ,  $\hat{A}(\pi_0, \pi_1, B, J_c) = 0 \pmod{2} \in \mathbb{R}$ .

$\Rightarrow$   $\mathbb{R}$ -action trivial  $\Rightarrow$   $v$  constant along length (sdr)  
 $\Rightarrow$   $v$  constant (J-hol eqn)  
 $\Rightarrow$  can't appear in  $\overline{\mathcal{A}}$ .

### (5) Gluing

If  $J_c$  regular,  $i(B_0) = i(B_1) = 1$  then a gluing theorem shows there's a neighbourhood of  $q \times \text{III} \times \text{III} \times p \in \overline{\mathcal{A}}$  homeomorphic

to  $[0, s[$ .

Thus if  $i(B) = 2$ ,  $\overline{\mathcal{A}}(p, q, B, J_c)$  is a compact 1-manifold and boundary points are  $\times \times \times$

Hence,  $\#(\text{boundary points}) = 0 \pmod{2}$ . Boundary points are in 1-1 correspondence of summands of  $\partial(\partial p) \Rightarrow \partial^2 = 0$   
 $\Rightarrow$  completes construction of  $(F(L_0, L_1), \partial)$  hence of  $HF(L_0, L_1)$

## Complementary lecture: Introduction to the Fukaya category

Course 4: 27/06/16

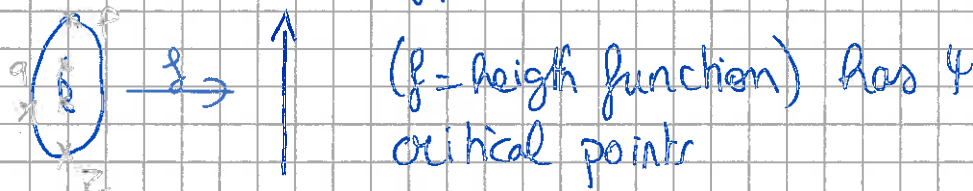
## Some topics

- #1 Floer cohomology as Morse theory on path space
- #2 Floer cohomology of 0-section in  $T^*N$  vs Morse cohomology on  $N$ .
- #3 Example of Gromov bubbling of closed holom. sphere
- #4 Example computation of HF in  $\pi_1 \mathbb{R}^2$  (exact Lagrangians)

Morse theory

$M$  smooth mfd. We say that  $f: M \rightarrow \mathbb{R}$  is Morse if for all  $p \in \text{Crit}(f)$  (i.e.  $df_p = 0$ ),  $\text{Hess}_p(f)$  is non degenerate

Example:



Pick a metric  $g$  on  $M$  and suppose  $(g, f)$  is Palais-Smale (genericity condition)

To this, associate Morse-Witten complex

$$CW_{\mathbb{Z}_2}(M, f, g) = \bigoplus_{p \in \text{Crit}(f)} \mathbb{Z}_2 \langle p \rangle \quad (\text{we choose here to work in the coefficient field } \mathbb{K} = \mathbb{Z}_2)$$

Differential: The coefficient of  $q$  in  $\partial p$  is the number of solutions  $\gamma: \mathbb{R} \rightarrow M$  to

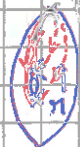
$$\dot{\gamma} = (\nabla_g f)(\gamma)$$

$$\lim_{t \rightarrow +\infty} \gamma(t) = q, \quad \lim_{t \rightarrow -\infty} \gamma(t) = p$$

We note  $\mathcal{M}(p, q)$  the set of such  $\gamma$

Graduation:  $\deg(p) = \#$  negative eigenvalues of  $\text{Hess}_p f$

Example:



$$\partial p = (q + q) + (z + z) \quad \begin{array}{l} \deg(p) = 2 \\ \deg(q) = \deg(z) = 1 \\ \deg(z) = 0 \end{array}$$

Prop.  $\mathcal{O}(p, q)$  is a manifold of dimension  $\deg p - \deg q$  (4)  
 $\mathbb{R}$  acts properly on  $\mathcal{O}(p, q)$  and  $\mathcal{O}/\mathbb{R}$  is a mfd of dim  
 (by modulation)  
 $\deg p - \deg q - 1$ .

① Floer cohomology as Morse theory

$(M, \omega)$   
 symplectic mfd.

In Floer cohomology, we count strips  $u: \mathbb{R} \times [0, 1] \rightarrow M$

$u(\cdot, 0) \in L_0$   
 $u(\cdot, 1) \in L_1$



Idea: Strip  $u(s, t)$  is a trajectory of paths

$(u(s, \cdot): [0, 1] \rightarrow M)_{s \in \mathbb{R}}$  with endpoint conditions

Def: Pathspace  $\mathcal{P} = \{ \gamma: [0, 1] \xrightarrow{e^0} M \text{ with } \gamma(0) \in L_0, \gamma(1) \in L_1 \}$   
 ( $\infty$ -dimensional)

Remark: If we wanted to do something precise, we would not use  $C^\infty$  but other regularity condition to get a Banach manifold

But this only works as an inspiration and we would run into analytic problems if we tried to formalize this ~~is~~ formulation

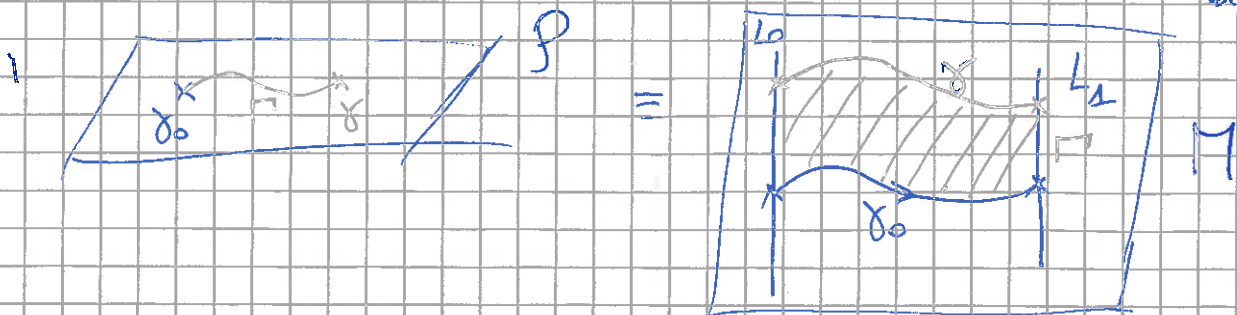
These problems come from the bubbling phenomenon and that's why we do Floer theory and not Morse theory on the path of space

Then  $T_\gamma \mathcal{P} = \{ \eta \in \Gamma(\gamma^* TM) \mid \eta(0) \in T_{\gamma(0)} L_0, \eta(1) \in T_{\gamma(1)} L_1 \}$

"Morse function" is the action defined on  $\hat{\mathcal{P}}$  and not  $\mathcal{P}$

$\mathcal{A}(\gamma, \Gamma) = - \int_\Gamma \omega$  where  $\hat{\mathcal{P}}$  is the universal cover

$\hat{\mathcal{P}} = \{ (\gamma, \Gamma) \mid \Gamma \text{ is a isotopy of } \gamma \text{ to a fixed } \gamma_0 \text{ path in the same isotopy class} \}$

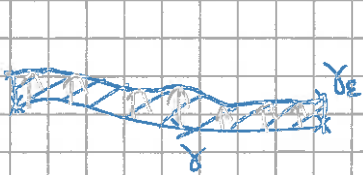


Metric on path space: Choose  $g$  metric compatible with  $\omega$  on  $M$   
 and define for  $\eta, \xi \in T_x P$ ,  $g(\eta, \xi) = \int_0^1 g(\eta(t), \xi(t)) dt$

Critical points of  $A$ : consider  $\eta \in T_x P$

~~$dA_x(\eta) = ?$~~

For  $\epsilon > 0$ , take  $\gamma_\epsilon = \exp_{\gamma(t)}(\epsilon \eta(t))$



$$\frac{A(\gamma_\epsilon) - A(\gamma)}{\epsilon} = \int_{\text{Strip}} \frac{\omega}{\epsilon}$$

Finally we get  $dA_x(\eta) = \int_0^1 \omega(\dot{\gamma}(t), \eta(t)) dt$

Critical points are points where  $dA_x(\eta) = 0$  for all  $\eta$ . The only way is  $\dot{\gamma} = 0$  i.e.  $\gamma = \text{constant}$ .

→ Critical points are in bijection with intersection points  $L_0 \cap L_1$

Gradient flowlines?

$g$  is compatible with  $\omega$ , take  $J$  s.t.  $g(\cdot, \cdot) = \omega(\cdot, J\cdot)$  (or  $\omega(\cdot, \cdot) = g(\cdot, J\cdot)$ )

$$dA_x(\eta) = \int_0^1 \omega(\dot{\gamma}(t), \eta(t)) dt = \int_0^1 g(J\dot{\gamma}, \eta) dt = G(J\dot{\gamma}, \eta)$$

And  $\nabla A_x = J\dot{\gamma}$

A gradient flowline is a  $u: \mathbb{R} \rightarrow \tilde{\mathbb{R}}$  s.t.  $\frac{\partial u}{\partial s} = \nabla A_x(u)$

$u: \mathbb{R} \times (s, t) \rightarrow M$   $u(s, t)$  flowline  $\Leftrightarrow \frac{\partial u}{\partial s} = J \frac{\partial u}{\partial t}$

Flow side

Metric side

(2) Floer cohomology of 0-section vs Floer cohomology on  $N$

Flow side

Metric side

$T^*N$ , 0-section =  $L_0$

$N$

$\Delta = \text{graph}(df) \subset T^*N$

$f: N \rightarrow \mathbb{R}$

$df=0 \Rightarrow L_1 \cap L_0 = \text{Crit pts of } f$

Crit points of  $f$

$L_0 \cap L_1$

$f$  Morse i.e. Hess $_x f$  nondeg



Next: Holomorphic strips  $u : \mathbb{R} \times [0, 1] \rightarrow T^*N$   
 $u(s, 0) \in L_0$   
 $u(s, 1) \in L_1$

Claim:  $H = f \circ \pi : T^*N \rightarrow \mathbb{R}$  hamiltonian on  $T^*N$

$\varphi_1^H(L_0) = L_1$  .  $\tilde{u}(s, t) = \varphi_1^{-t}(u(s, t))$

$u$  verifies  $\frac{\partial u}{\partial s} = J \frac{\partial u}{\partial t}$  and  $\tilde{u}$  verifies  $\frac{\partial \tilde{u}}{\partial s} = \left( \frac{\partial \tilde{u}}{\partial t} - X_{H_t} \right) (u, t)$

$\tilde{u}(s, 0) \in L_0$  and  $\tilde{u}(s, 1) \in \varphi_1^{-1}(L_1) = L_0$

Claim:  $\gamma(s)$  Morse trajectory of  $f$  then  $\tilde{u}(s, t) = \gamma(s)$  satisfies (a)

Claim 2 Conversely, given  $u \rightarrow \tilde{u} \rightarrow \gamma$  gradient trajectory

Introduction to the Fukaya category

Cours 2: 28/06/16

Text: Auroux, A beginner's introduction to Fukaya categories

- Lec 1  $\subset [A_0, S_1]$
- Lec 2  $\subset [A_0, S_2] \cup \{\text{examples}\}$
- Lec 3  $\subset [A_0, S_4]$

Commentary on Lecture 1:

Why  $\mathbb{R} \curvearrowright \mathcal{A}(P, \beta, J_\epsilon)$  is prop.  $(a \cdot u)(s, t) = u(sta, t)$

Lemma:  $a \cdot u = u \iff a = 0 \text{ or } u = \text{const}$

Pr:  $\lim_{k \rightarrow \infty} u(s_0 + ka, t) = p = u(s_0, t) \quad \square$

Lemma:  $u_i \rightarrow u$  and  $a_i \cdot u_i \rightarrow u \iff a_i \rightarrow 0 \text{ or } u = \text{const}$

Cor:  $(\mathcal{A} \setminus \{\text{const}\}) / \mathbb{R}$  is a mfd of dim  $r(\beta) - 1$

Grading

Def:  $\mathcal{G}(n) := \{ \text{linear Lag subspaces } L \subset (\mathbb{C}^n, \omega_{std}) \}$

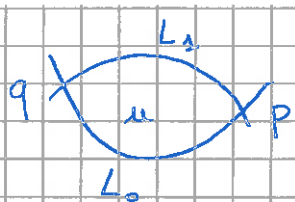
Lemma:  $\mathcal{G}(n) \cong U(n) / O(n) \Rightarrow \pi_1(\mathcal{G}(n)) \cong \mathbb{Z}$   
 $\mu = \text{Maslov index}$

Extend to  $\mu: \mathbb{P}\mathcal{G}(n) \rightarrow \mathbb{Z}$

$\{ \text{continuous maps } \rho: [0, 1] \rightarrow \mathcal{G}(n) \text{ s.t. } \rho(0) \cap \rho(1) \}$

$\mu = \text{unique map s.t.}$

- continuous map
- $\mu(\rho_1 \times \rho_2) = \mu(\rho_1) + \mu(\rho_2)$  with  $\mathcal{G}(n_1) \times \mathcal{G}(n_2) \rightarrow \mathcal{G}(n_1 + n_2)$
- $\mu(e^{\pi i N E}) = [N] + 1$  in 1-dim case



$$\iota^* TM \cong \mathbb{R}_Y [0,1] \times \mathbb{P}^m$$

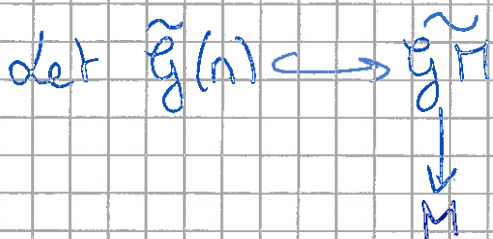
(6)

Choose  $e_p$  from  $T_p L_0$  to  $T_p L_1$  and  $e_q$  from  $T_q L_0$  to  $T_q L_1$

Concatenate  $\rightarrow \tilde{\alpha}: S^1 \rightarrow \mathcal{G}(n)$

Fact:  $i(\beta) = \mu(\tilde{\alpha}) = \mu(e_p) + \mu(e_q)$  (does not depend on choice because if  $e_1 \in \mathbb{P}\mathcal{G}, e_2 \in \mathbb{P}\mathcal{G}$   $\mu(e_1 \# e_2) = \mu(e_1) + \mu(e_2)$ )  
 $\dim \text{of } (p, q, \beta, \mathcal{J}_\epsilon)$

Consider  $\mathcal{G}(n) \rightarrow \mathcal{G}^M \leftarrow \text{Lag subspaces of } TM$

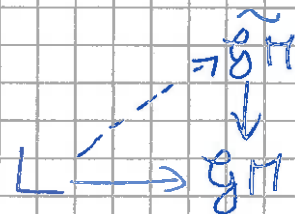


be a fiberwise universal cover of  $\mathcal{G}^M$

(exists  $\Leftrightarrow 2G_2(TM) = 0$ )

(need not be unique)

Def: A grading of LCM is a lift



(exists if  $\pi_1(L) \rightarrow \pi_1(\mathcal{G}^M) \rightarrow \mathbb{Z}$  vanishes)  $\leftarrow$  classifies  $\tilde{\mathcal{G}}^M$

$\mu_L \in H^4(L, \mathbb{Z})$  Maslov class of L

Suppose  $L_0, L_1$  are equipped with gradings  $\forall p \in L_0 \cap L_1$

$\exists!$  homotopy class of path  $e_p: T_p L_0 \rightarrow T_p L_1$  that lifts to a path  $\tilde{T}_p L_0 \rightarrow \tilde{T}_p L_1$

Def:  $|p| = \mu(e_p) \Rightarrow \mathbb{Z}$ -grading on  $CF(L_0, L_1) = \langle L_0 \cap L_1 \rangle$

Lem:  $|2(p)| = |p| + 1$

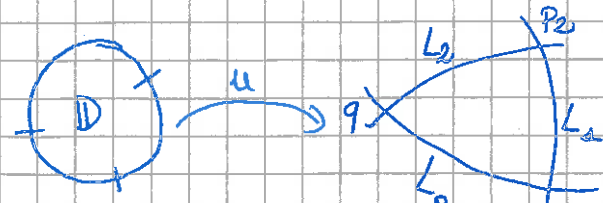
PP  $i(\beta) = \mu(\tilde{\alpha}) = \mu(e_p) + \mu(e_q)$  Recall that  $i(\beta) = 1$  and  $\mu(\tilde{\alpha}) = 0$  (lifts to  $\tilde{\mathcal{G}}(n)$ )  $\square$

RR: If  $L_i$  are spin, can orient  $d\mu(p, q, \beta, \mathcal{J}_\epsilon) \rightsquigarrow$  can count with signs  $\rightsquigarrow$  work over  $\Lambda_K$  with  $\text{char}(K) \neq 2$

# II. Product structures

## ① Product

Counting J-hol triangles



$\bar{\partial}_J u = 0 \iff Du \circ j = J_2 \circ du$   
 opx structure on  $D$  (almost complex structure varies) on  $D$

As before, have moduli space  $\mathcal{M}(p_1, p_2, q, \beta, J_2)$  of such maps with  $\langle u, j \rangle = \beta$ , which is a mfd of dim  $i(\beta)$  for generic  $J_2$

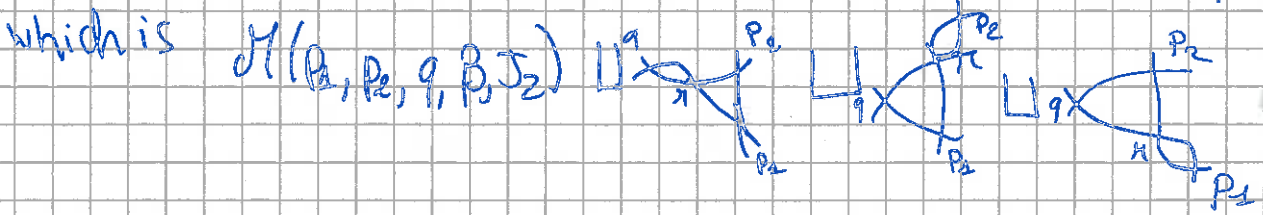
Def:  $m_2: CF(L_1, L_2) \otimes CF(L_0, L_1) \rightarrow CF(L_0, L_2)$

$m_2(p_1, p_2) := \sum_{q, \beta} \# \mathcal{M}(p_1, p_2, q, \beta, J_2) \prod_{\wedge} \mathbb{Z}^{w(\beta)}$

If  $L_i$  are graded,  $i(\beta) = |q_1| - |p_1| - |p_2| \Rightarrow |m_2(p_1, p_2)| = |p_1| + |p_2|$

What relations do  $m_2$  satisfy?

$\rightarrow$  We need to look at 1-dim component of  $\mathcal{M}(p_1, p_2, q, \beta, J_2)$

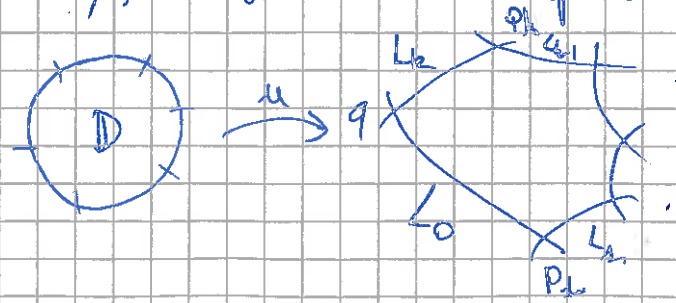


$\Rightarrow 2m_2(p_1, p_1) + m_2(\partial p_1, p_1) + m_2(p_1, \partial p_1) = 0$

$\Rightarrow$  get map  $HF(L_1, L_2) \otimes HF(L_0, L_1) \xrightarrow{m_2} HF(L_0, L_2)$

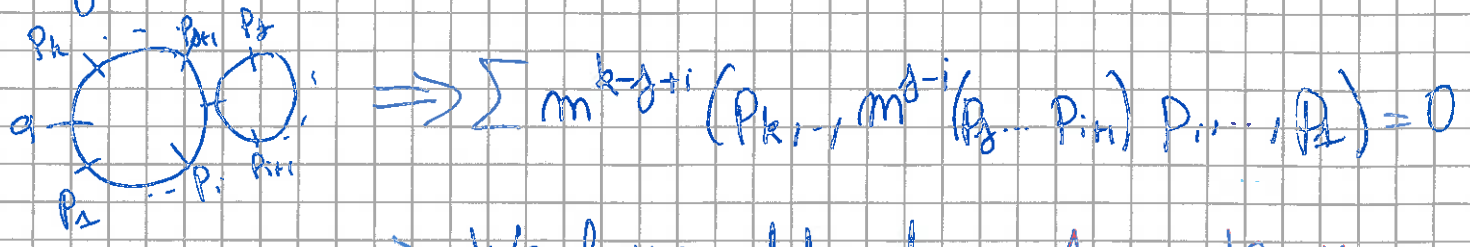
## ② $A_{\infty}$ -products

More generally, consider moduli spaces  $\mathcal{M}(p_1, \dots, p_k, q, \beta, J_2)$  of hol maps



Counting 0-dim component of mod space of such hol maps defines a map  $m_k : CF(L_{k_1}, L_k) \otimes \dots \otimes CF(L_0, L_2) \rightarrow CF(L_0, L_2)$  (of degree  $2-k$  if  $L_i$  graded)

Counting boundary of 1-dim compactified moduli space gives relation



$$\Rightarrow \sum m^{k-j+i} (P_{k_1}, m^{j-i}(P_2, \dots, P_{i+1}), P_1, \dots, P_2) = 0$$

$\Rightarrow$  We have defined an A<sub>∞</sub>-category

(up to defining  $CF(L, L')$  when  $L$  and  $L'$  are not necessarily transverse)

Would like to define Fuk(H, w)

- Obj are LCM Lagrangian  $w|_{\mathbb{R}P^1} = 0$  (graded spin)
- $\text{Hom}(L_0, L_2) = CF(L_0, L_2)$
- A<sub>∞</sub>-structure maps  $m_k$

Issue:  $\text{Hom}(L_0, L_2)$  only defined for  $L_0 \pitchfork L_2$

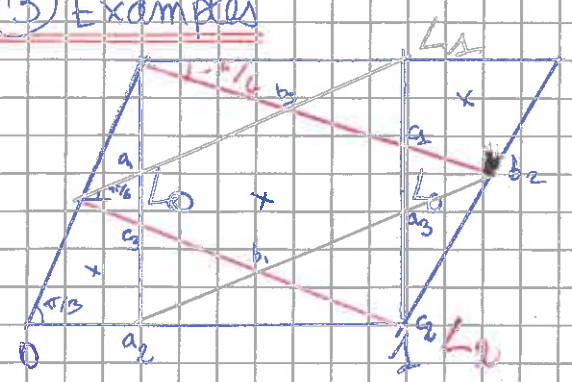
$\rightarrow$  Choose system of Ham perturbations to make  $L_0 \pitchfork_{\psi_{\text{Ham}}}(L_2)$ . [Seidel's book]

$\text{Hom}(L_0, L_2) = \Lambda \langle L_0 \cap \psi_{\text{Ham}}(L_2) \rangle$  in this setup

$\mathcal{H}(\text{Fuk}(H, w))$  is (deg 0 part of) Donaldson-Fukaya category

Examples

punctures



$\mathcal{A} =$  full subcategory of  $\mathcal{H}(\text{Fuk}(T^2 \setminus 3\text{pts}))$

Ex: for appropriate gradings of  $L_i$  (real  $\mathbb{C}H \rightarrow \mathbb{C}H$  extends to  $T^2$ )

$$HF(L_0, L_2) = \Lambda \langle a_1, a_2, a_3 \rangle \quad |a_i| = 0$$

$$HF(L_1, L_1) = \Lambda \langle b_1, b_2, b_3 \rangle \quad |b_i| = 0$$

$$HF(L_0, L_2) = \Lambda \langle c_1, c_2, c_3 \rangle \quad |c_i| = 0$$

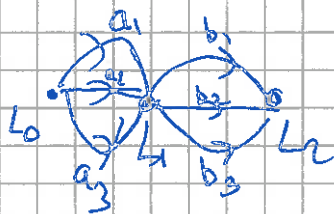
$\Rightarrow$  morph spaces in other direction are spanned by

$a_i^\vee$  in deg 1

$$HF^0(L_i, L_i) \cong H^0(S^1) = \Lambda \langle e, 0 \rangle, \quad |e| = 0, \quad |0| = 1$$

Ex:  $m_2(b_j, a_i) = \begin{cases} c_k & \text{when } \{i, j, k\} = \{1, 2, 3\} \\ 0 & \text{if } i=j \end{cases}$

Remark:  $H(\text{Fuk}(M, \omega))$  has identity elements  $e \in H^0(L) \cong HF^0(L, L)$



$$b_i a_j = b_j a_i \quad (= c_k) \text{ for } i \neq j$$

$$b_i a_i = 0$$

Compare with  $\text{Coh}(\mathbb{P}^2)$   $\mathcal{O}, \mathcal{O}(1), \mathcal{O}(2)$ : example of mirror symmetry

Maydanskiy M.

8

Complementary course: Introduction to the Fukaya category.

Course 2: 29/06/16

Topics #1 Exact Lagrangians, CF over  $\mathbb{Z}_2$  @ Example with  $\mathbb{R}^2$  (with gradings).

(on the exercise sheet on webpage)

#2 Gromov bubbling of spheres: some definitions + example in  $\mathbb{C}P^2$

#3 Stasheff associahedra & moduli spaces of disks with marked points

#4 Hofer displacement energy & torsion in Floer cohomology over  $\Lambda_0$  (Novikov ring).

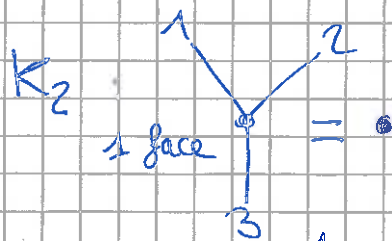
Topic #3.

Def:  $K_k$  is a polytope with

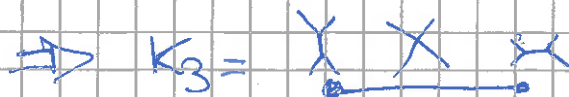
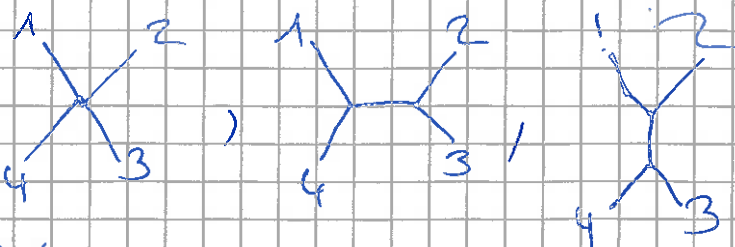
- Faces indexed by plane trees with  $k+1$  labeled, cyclically ordered semi- $\infty$  leaves (no finite leaves, all vertices  $\deg \geq 3$ ) up to planar isom.
- $\text{Face}_{T_2} \subset \text{Face}_{T_1}$  if  $T_2$  is obtained from  $T_1$  by contracting internal edges

(alternatively if  $T_2$  is obtained from  $T_1$  by expanding vertices)

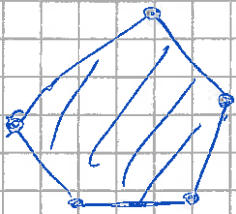
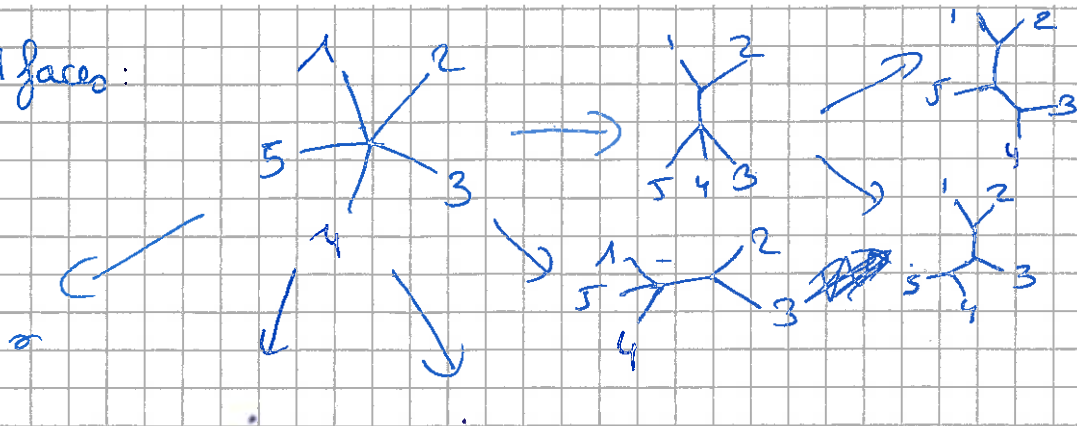
Example:



$K_3$ : 3 faces:



$\mathbb{C}^2$  has 11 faces:



Claim:  $K_k$  are isomorphic (as usual spaces) to  $\mathcal{M}_{0, k+1}$  compactified moduli space of discs with  $k+1$  bdy marked points.

$$M_3 = \text{pt} = \text{circle} \rightarrow \bar{M}_3 = \text{pt}$$

( $\text{Aut}(D^2)$  is uniquely determined by its image of 3 different points)

$$M_4 = \text{circle with 4 points} \rightarrow M_4 = \text{open space}$$

$$\bar{M}_4 = \text{closed}$$

How to compactify  $M_{k+1}$ ?

Functions on  $M_{k+1}$  are cross-ratios:

$$M_{k+1} \rightarrow \mathbb{R}^{\binom{k}{3}}$$

$\mathbb{R}^k / \text{stab}$  because  $\partial D^2 = S^1 \simeq \mathbb{R} \cup \{ \infty \}$  with  $k$  points  $\{ p_i \}_{i=1}^k$

Action by  $\text{stab}_{\infty}(\text{Aut}(D^2, i))$  for rescaling & translation

Cross ratios are functions  $\mathbb{R}^k \rightarrow \mathbb{R}$  independent under the action of  $\text{stab}_{\infty}(\text{Aut}(D^2, i))$

for  $0 < l < n < m \leq k$

$$f_{l, n, m} = \frac{x_m - x_n}{x_n - x_l}$$

(doesn't change under action of translation and positive rescaling)



There is  $\binom{k}{3}$  different cross ratios.

$$i: M_{k+1} \longrightarrow \mathbb{R}_+^{\binom{k}{3}} = [0, \infty]^{\binom{k}{3}}$$

Claim 1:  $i$  is an embedding

$$x_1, \dots, x_k \sim 0, 1, \infty, \dots, \infty$$

$$\Rightarrow \int_{\Delta, 2, n} = \frac{x_{n-1}}{1-0} = x_{n-1} \quad \square$$

Claim 2:  $\overline{i(M_{k+1})} \cong K_k$

$$\vec{x}^i \in \mathbb{R}^k \quad i(\vec{x}^i) \rightarrow p.$$

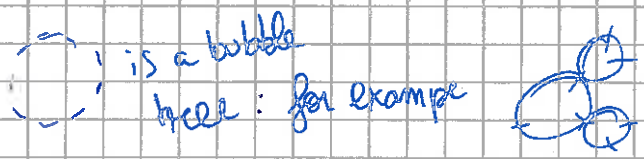
$$\vec{x}^i \rightarrow \tilde{x}^i \text{ with } \tilde{x}_1^i = 0 \quad \tilde{x}_2^i = 1 \quad (\text{fixing the gauge})$$

$$\lim_{i \rightarrow \infty} (\tilde{x}_k^i) \rightarrow x_k.$$

It might be some  $x_k$  are equal  $\rightarrow$  tells us that these merge into a bubble tree. Example:  $x_5 = x_6 = x_7$   
 $x_1 = x_2 = x_3$ .



To see what happens to the group  $x_5, x_6, x_7$  we change the gauge ~~to~~ such that  $\tilde{x}_5 = 0$   $\tilde{x}_6 = 1$  and proceed by induction.



Topic #4

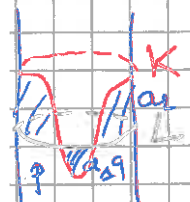
Recall that  $CF(L_0/L_1) = \bigoplus_{p \in \mathbb{N}} \Lambda \langle p \rangle$  with

$$\partial p = \sum_{\alpha, \beta} \# \text{ol}(\alpha, \beta) T^{w(\beta)} q \quad \text{where } \Lambda = \left\{ \sum_{i \in \mathbb{N}} a_i T^{\lambda_i} / a_i \in \mathbb{K}, \lambda_i \rightarrow \infty \right\}$$

$$CF_{\Lambda} = \bigoplus \Lambda_0 \langle p \rangle \text{ with } \Lambda_0 = \left\{ \sum a_i T^{\lambda_i} / a_i \in \mathbb{K}, \lambda_i \xrightarrow{i \rightarrow \infty} \infty, \lambda_i \geq 0 \right\} \quad \text{Novikov field}$$

$\Delta CF_{\Lambda_0}$  not invariant under Ham isotopy

Example:  $M = C = S^1 \times \mathbb{R} = T^*S^1$        $L = S^1 \times 0$



$$CF_{\Lambda_0}(K, L) = \Lambda_0^p \oplus \Lambda_0^q$$

$$\partial p = T^{a_1} q + T^{a_2} q = T^{a_1} (1 + T^{a_2 - a_1}) q \quad q_2 \geq a_1$$

\* If  $a_2 = a_1$ ,  $\partial p = 0$        $HF_{\Lambda_0} = \Lambda_0 \langle p \rangle \oplus \Lambda_0 \langle q \rangle$

\* If  $a_2 > a_1$ ,  $\text{Ker} = \Lambda_0 \langle q \rangle$        $\Rightarrow HF = \Lambda_0 / T^{a_2} \Lambda_0$   
 $\text{Im} \cong T^{a_1} \Lambda_0^q$

Take  $(\Pi, \omega)$  symplectic       $\phi \in \text{Ham}^c(\Pi, \omega)$  (compact support)

Def: Hofer norm,  $e(\phi) = \inf_H \int_0^1 (\max H_t - \min H_t) dt$

where  $H$  vary over all  $H: \Pi \times [0, 1] \rightarrow \mathbb{R}$  s.t.  $\phi_t^{H_t} = \phi$ .

$d(\phi, \psi) = e(\phi\psi^{-1})$  bi-inv metric on  $\text{Ham}^c$  (McDuff Lalonde)

Def (Displacement energy):  $K, L$  CM

$$e(K, L) = \inf_{\psi/\varphi(K) \cap L = \emptyset} e(\varphi)$$

Obs  $e(K, L) = e(L, K)$

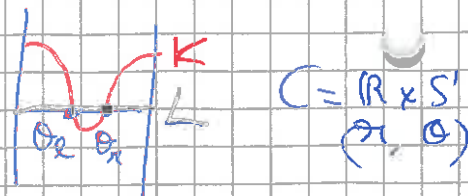
Question: What is  $e(L, K)$  in the example?

Need to show: ① Find a Ham  $\varphi$  s.t.  $e(\varphi) = a_2$  and  $L \cap \varphi(K) = \emptyset$

② show that if  $\varphi$  displaces  $K$  from  $L$ ,  $e(\varphi) \geq a_2$

②: Suppose  $\varphi$  displaces  $K$  from  $L$

$K$  will end up above  $L$



Area swept by the arc  $\theta_2 - \theta_1$  is  $> a_1$

$$\phi: [0,1] \times S^1 \rightarrow C$$

$$(t, \theta) \mapsto \phi_t(P_\theta^t)$$

area  $a_2 \leq \int_{[0,1] \times [\theta_2, \theta_1]} \phi^* \omega = \int (dt \wedge d\theta) (1 \times H_t, \phi_t \frac{\partial \phi}{\partial \theta})$

$$= \int_{[0,1]} \left( \int_{\theta_2}^{\theta_1} dH_t(\cdot) d\theta \right) dt \leq \int_{[0,1]} (\max H_t - \min H_t) dt = c(a_1)$$

Wrong statement.  
It seems there are no easy way to prove this bound

Thm 5 in F000 (Anemaly and obstruction) : proved this result in full generality

→ See N. Thécidier's webpage to have a PDF solution which involves an energy-capacity inequality in  $\mathbb{R}^2$

# Introduction to the Fukaya category.

Course 3: 30/06/16

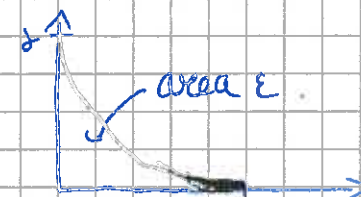
## III. Triangulated structure

Recall:  $(M, \omega)$  - symplectic manifold  
 $\leadsto \text{Fuk}(M, \omega)$   $A_\infty$ -category.

Objects = Lagrangians LCM s.t.  $\omega|_{T_x(L)} = 0$ .

From this  $A_\infty$ -category, we can construct  $\text{Tria}(\text{Fuk}(M, \omega))$

### ① Lagrangian connect sum & mapping cones

Let  $f_\varepsilon : ]0, \delta] \rightarrow ]0, \delta]$  have graph 

$L_\varepsilon := \left\{ A = \frac{\partial}{\partial q_i} (f_\varepsilon(\|q\|)) \right\} \subset (\mathbb{R}^n \times \mathbb{R}^n, \omega_{std})$  is a Lagrangian

Let  $L_1, L_2$  CM be Lagrangian,  $p \in L_1 \cap L_2$  transverse intersection point. Identify nbhd of  $p$  with  $B_\delta(0)$  s.t.

$L_1 \hookrightarrow \mathbb{R}^n \times 0$  and  $L_2 \hookrightarrow 0 \times \mathbb{R}^n$

$L_\varepsilon \setminus B_\delta(0) \cong (\mathbb{R}^n \times 0 \cup 0 \times \mathbb{R}^n) \setminus B_\delta(0)$

Glue in a copy of  $L_\varepsilon \leadsto$  get  $L_1 \#_\varepsilon L_2$ .

If  $L_1 \cap L_2 = \{p\}$ ,  $L_1 \#_\varepsilon L_2$  is embedded.

$\Rightarrow$  new object of  $\text{Fuk}(M, \omega)$

Claim: In  $\text{Tria}(\text{Fuk}(M, \omega))$ ,  $L_1 \#_\varepsilon L_2 \cong \text{Cone}(L_2 \xrightarrow{T^*P} L_1)$

It follows from (unpublished) work of FOOO.

Prop: Let  $L_3$  be another Lagrangian. Assume  $L_1 \cap L_2 \cap L_3 = \emptyset$

Then for  $\varepsilon > 0$  small  $L_3 \cap (L_1 \#_\varepsilon L_2) = (L_3 \cap L_1) \cup (L_3 \cap L_2)$

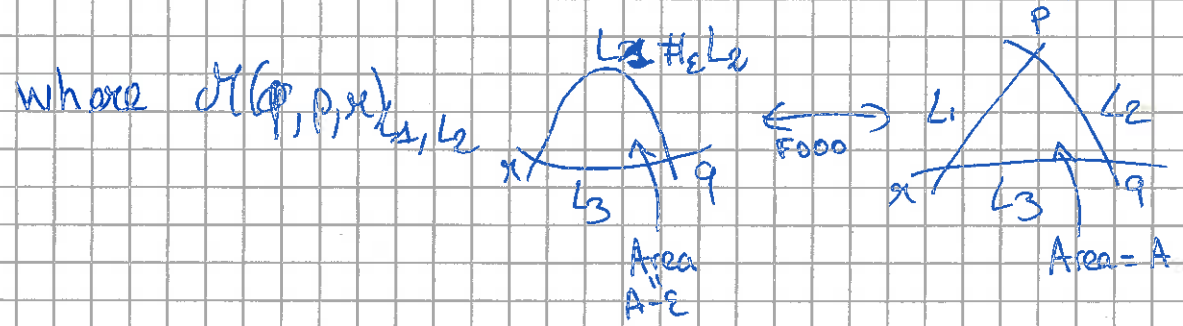
$\Rightarrow \text{Hom}(L_3, L_1 \#_{\epsilon} L_2) \cong \text{Hom}(L_3, L_1) \oplus \text{Hom}(L_3, L_2)$

Claim:  $(\text{Hom}(L_3, L_1 \#_{\epsilon} L_2)_{m_1}) \cong (\text{Hom}_{\text{Tw}(Fuk)}(L_3, L_2 \xrightarrow{T^2} L_1), m_1^{\text{Tw}(Fuk)})$

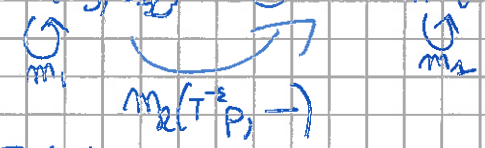
Let  $q, x \in L_3 \cap (L_1 \#_{\epsilon} L_2)$ . Fock's result says (roughly): the space of J-holomorphic discs w/ bdy on  $L_1 \#_{\epsilon} L_2$  is isom. to the space of J-hol discs w/ bdy on  $L_1$  and  $L_2$ , which are allowed to "switch" from  $L_2$  to  $L_1$  at  $p$  (but not bac from  $L_1$  to  $L_2$ )

for strips:

$q \in L_3 \cap L_1$	$x \in L_3 \cap L_2$	$\mathcal{M}(q, x)_{L_1 \#_{\epsilon} L_2}$
$L_3 \cap L_1$	$L_3 \cap L_2$	$\mathcal{M}(q, x)_{L_1}$
$L_3 \cap L_2$	$L_3 \cap L_1$	$\mathcal{M}(q, x)_{L_2}$
$L_3 \cap L_1$	$L_3 \cap L_1$	$\emptyset$
$L_3 \cap L_2$	$L_3 \cap L_2$	$\mathcal{M}(q, p, x)_{L_1, L_2}$



$\Rightarrow m_2$  is given by:  $\text{Hom}(L_3, L_1) \oplus \text{Hom}(L_3, L_2)$



(and  $A_{\infty}$ -products  $m_k^{\text{Tw}(Fuk)}$  work similarly.)

$m_k^{\text{Tw}(Fuk)} = \sum_{\substack{t=0 \\ i_1 + \dots + i_k = t}}^{\infty} m_k(\delta^{i_1}, \dots, \delta^{i_k})$

② Split generation

Def: The objects  $L_1 \dots L_k$  generate an  $A_{\infty}$  cat  $\mathcal{A}$  if all objects of  $\mathcal{A}$  are isomorphic in  $\text{tw}(A_{\infty})$  to a twisted complex built from  $L_i$

They split-generate if every object of  $\mathcal{A}$  is isomorphic in  $\text{Tri}(\mathcal{A})$  to a direct summand of such

Eg  $M = T^2 = \mathbb{R}^2 / \mathbb{Z}^2$   $L_1 = \{x=0\}$ ,  $L_2 = \{y=0\}$

Ex You can get curves in any homology class of  $T^2$  by taking iterated Lagrangian connect sums of  $L_1$  and  $L_2$ .

But they do not generate  $\text{Fuk}(M)$ : let  $\theta \in \Omega(T^2(\frac{1}{2}, \frac{1}{2}))$   
 s.t.  $d\theta = \omega$ ,  $\theta|_{L_1} = 0 = \theta|_{L_2}$

For any  $(\bigoplus L_i[n_i], \delta_{ij}) \in \text{Tw}(\text{Fuk})$ , set  
 $G(\bigoplus L_i[n_i], \delta_{ij}) := \sum (-1)^{n_i} \int_L \theta \in \mathbb{R}/\mathbb{Z}$

Prop: (Abouzaid) If  $\mathcal{L}, K$  are isomorphic twisted complex  
 then  $G(\mathcal{L}) = G(K)$

Further  $G(\text{Cone}(\mathcal{L} \xrightarrow{\mathcal{A}} K)) = G(K) - G(\mathcal{L})$

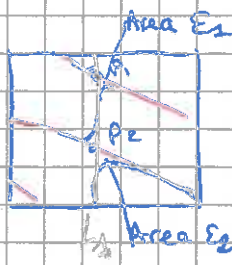
Intuition: In Homology class , there is a  $S^1$ -family of Lagrangian non-hamiltonian isomorphic

$G$  represents this family:



Cor:  $L_1$  &  $L_2$  generate the subcat of  $\text{Fuk}(M)$  consisting of balanced curves (those with  $\int_L \theta = 0$ )

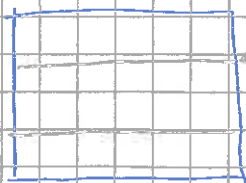
Claim:  $L_1$  &  $L_2$  split-generate  $\text{Fuk}(M)$



$L_3 = \{y = -\frac{1}{2}x\}$

$\text{Cone}(L_3 \xrightarrow{\tau_{E_1} + \tau_{E_2}} L_1)$

Ham isotopic

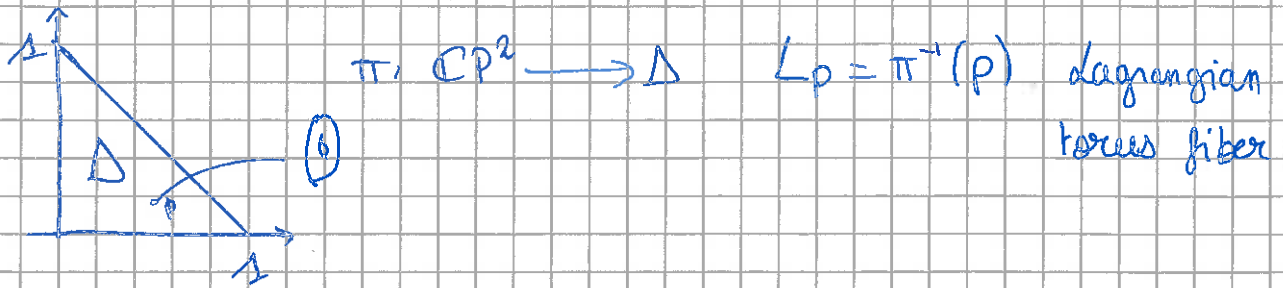


$\{y = \frac{1}{4} + \epsilon_1 - \epsilon_2\}$   
 $\{y = -\frac{1}{4} + \epsilon_1 - \epsilon_2\}$

split-generated by  $L_1$  &  $L_2$

Using  $E_1, E_2$ , we can split-generate curves with any value of  $\int \omega$  in this homology class  $\mathbb{R}$  (12)

### ③ Examples in $\mathbb{C}P^2$ .



Claims: ①  $\text{Fuk}(\mathbb{C}P^2) = \bigsqcup_{\lambda \in \mathbb{A}} \text{Fuk}(\mathbb{C}P^2)_\lambda$

(because  $\omega|_{\pi_2(M)} \neq 0 \Rightarrow \omega|_{\pi_2(M, \lambda)} \neq 0$ )

②  $L_p \in \text{Fuk}(\mathbb{C}P^2)_\lambda$   $L_p \cong 0$  unless  $p = (\frac{1}{3}, \frac{1}{3})$

(where  $\lambda(p_0, p_2) = T^{p_0} + T^{p_2} + T^{1-p_0-p_2}$ )

③ Thm (Abouzaid-Fukaya):  $L_{(\frac{1}{3}, \frac{1}{3})}$  split-generates  $\text{Fuk}(\mathbb{C}P^2)_{3\mathbb{Z}}$

if we work over  $\Lambda_{\mathbb{C}}$  (which we can work over because  $T^2$  is spin)

Note:  $H_2 \neq 0$  for  $L = L_p \rightsquigarrow \text{Fuk}$  not  $\mathbb{Z}$ -graded but has a  $\mathbb{Z}/2$ -graded

④  $\text{HF}(L_{(\frac{1}{3}, \frac{1}{3})}) \cong \text{Cl}_2(\Lambda_{\mathbb{C}}) \leftarrow \text{Clifford algebra}$   
 $\text{Mat}_{2 \times 2}(\Lambda_{\mathbb{C}})$

$\Rightarrow L$  has "formal direct summand"  $K$ ,  $\text{Hom}(K, K) \cong \Lambda_{\mathbb{C}}$   
 and  $K$  also split-generates  $\text{Fuk}(\mathbb{C}P^2)_{3\mathbb{Z}/2}$

$\Rightarrow \text{D}^{\text{b}} \text{Fuk}(\mathbb{C}P^2)_{3\mathbb{Z}/2} \cong \text{D}^{\text{b}}(\Lambda_{\mathbb{C}})$

Idempotent completion